

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC10047 PCT 1

In Re Application Of:

LEAYM, TINA MARIE , et al.

10/591187

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
			00137		

Title: SILSESQUIOXANE RESIN WAX

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);
OR
☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC10047 PCT 1	
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Title: SILSESQUIOXANE RESIN WAX					
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CC:					

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number PCT/US2005/011705

Filing Date

10/591187

First Named Inventor LEAYM, TINA MARIE

Art Unit

Examiner Name

Attorney Docket Number DC10047 PCT 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,932,231	08-03-1999	LeGrow et al.	
		US- 6,270,561	08-07-2001	Nguyen	
		US- 6,071,503	06-06-2000	Drechsler et al.	
		US- 6,704,654	06-13-2000	Drechsler et al.	
		US- 6,139,823	10-31-2000	Drechsler et al.	
		US- 6,340,466	01-22-2002	Drechsler et al.	
		US- 5,733,537	03-31-1998	Halloran et al.	
		US- 2003-0086888	05-08-2003	LeGrow et al.	
		US- 2003-0082218	05-01-2003	Ichinohe et al.	
		US- 2002-0064509	05-30-2002	Grimm et al.	
		US- 2004-0028636	02-12-2004	Collin	
		US- 2003-0185780	10-02-2003	Ferrari et al.	
		US- 2003-0125427	07-03-2003	Pinzon et al.	
		US- 2002-0120036	08-29-2002	Pinzon et al.	
		US- 2003-0147837	08-07-2003	Cavazzuti et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO97/17058	05/15/1997	THE PROCTER & GAMBLE COMPANY		
		WO97/17059	05/15/1997	THE PROCTER & GAMBLE COMPANY		
		WO02/47619	06/20/2002	L'OREAL S.A.		
		WO02/47608	06/20/2002	L'OREAL		
		WO02/058643	08/01/2002	L'OREAL		

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Sheet 2

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PCT/92/050120

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First Named Inventor

LEAYM, TINA MARIE

Art Unit

Examiner Name

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DC10047 PCT 1

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP1314415	05/28/2003	SHIN-ETSU CHEMICAL CO., LTD.		
		WO02/47657	06/20/2002	L'OREAL S.A.		
		WO02/47624	06/20/2002	L'OREAL S.A.		
		WO02/47623	06/20/2002	L'OREAL S.A.		

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